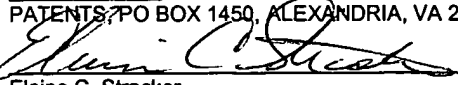
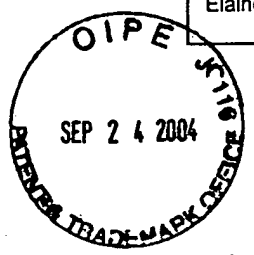


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Elaine C. Stracker



PATENT  
Attorney's Docket No.: 00031.04CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rabinowitz et al.  
Serial No.: 10/775,583  
Filed: 2/9/2004  
Title DELIVERY OF BETA-BLOCKERS THROUGH AN INHALATION  
ROUTE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

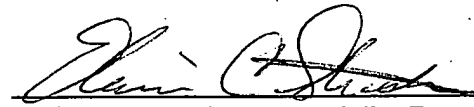
In accordance with their continuing duty of disclosure under 37 CFR §§ §1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

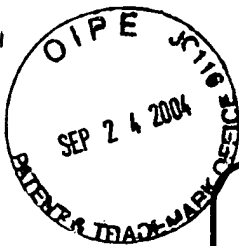
In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 502731**. Please direct all correspondence to the following customer number.

Respectfully submitted,

Date: SEP. 24 2004

  
Elaine C. Stracker J.D., Ph.D., Reg. 43,166.

Alexza Molecular Delivery Corporation  
1001 East Meadow Circle  
Palo Alto, CA 94303  
TEL: (650) 687-3905  
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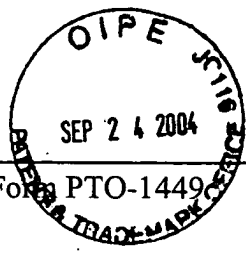
|  |                      |                        |             |
|--|----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/775,583             |             |
|  | Filing Date          | 2/9/2004               |             |
|  | First Named Inventor | Joshua D. Rabinowitz   |             |
|  | Art Unit             | Not yet known          |             |
|  | Examiner Name        | To be assigned         |             |
| Total Number of Pages in This Submission   |                      | Attorney Docket Number | 00031.04CON |

| ENCLOSURES (check all that apply)  |  |  |
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
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| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence                                  | <input type="checkbox"/> Status Letter   |
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| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund  |  |
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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
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| Firm or Individual name                    | ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303<br>Elaine C. Stracker, Ph.D., J.D. Telephone (650)-687-3905<br>Customer Number 37485 |
| Signature                                  |   |
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Form PTO-1449

Docket Number: 00031.04 CON

Application Number: 10/775,583

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 2/9/2004

Group Art Unit: Not Yet Known

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| Examiner Initials | Ref. No. | Date       | Document No. | Name                 | Class | Subclass | Filing Date If Appropriate |
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|                   | 1.       | 02/02/1971 | 3,560,607    | Hartley et al.       |       |          |                            |
|                   | 2.       | 05/27/1980 | Re. 30,285   | Babington            |       |          |                            |
|                   | 3.       | 01/23/1990 | 4,895,719    | Radhakrishnun et al. |       |          |                            |
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|                   | 13.      | 06/29/1999 | 5,915,378    | Lloyd et al.         |       |          |                            |
|                   | 14.      | 08/10/1999 | 5,934,272    | Lloyd et al.         |       |          |                            |
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|                   | 16.      | 10/05/1999 | 5,960,792    | Lloyd et al.         |       |          |                            |
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|                   | 25.      | 01/14/2003 | 6,506,762    | Horvath et al.       |       |          |                            |

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Docket Number: 00031.04 CON

Application Number: 10/775,583

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 2/9/2004

Group Art Unit: Not Yet Known

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|  | 35. | 02/13/2003 | US2003/0032638  | Kim et al.        |  |  |  |

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|  | 50. | 01/29/2004 | 10/768,293 | Rabinowitz et al. |  |  |  |
|  | 51. | 01/30/2004 | 10/769,046 | Rabinowitz et al. |  |  |  |

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Form PTO-1449

Docket Number: 00031.04 CON

Application Number: 10/775,583

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 2/9/2004

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|  |     |            |                 |                   |  |  |  |
|--|-----|------------|-----------------|-------------------|--|--|--|
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Docket Number: 00031.04 CON

Application Number: 10/775,583

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|  | 81.  | 1/16/2003  | US 2003/0012740 | Rabinowitz et al. |  |  |  |
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|  | 94.  | 02/20/2003 | US 2003/0035776 | Hodges et al.     |  |  |  |
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Form PTO-1449

Docket Number: 00031.04 CON

Application Number: 10/775,583

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 2/9/2004

Group Art Unit: Not Yet Known

|  |      |            |                 |                   |  |  |  |
|--|------|------------|-----------------|-------------------|--|--|--|
|  | 110. | 08/12/2004 | US 2004/0156788 | Rabinowitz et al. |  |  |  |
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## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Ref.<br>No. | Date       | Document No. | Country | Class | Subclass | Translation<br>YES NO |
|----------------------|-------------|------------|--------------|---------|-------|----------|-----------------------|
|                      | 114.        | 03/14/1990 | EP 0 358 114 | Europe  |       |          |                       |
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|                      | 131.        | 05/18/2000 | WO 00/27363  | WIPO    |       |          |                       |

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| <b>Form PTO-1449</b><br><br><b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><br><i>(Use several sheets if necessary)</i> | Docket Number: 00031.04 CON            | Application Number: 10/775,583 |
|  | Applicant: Joshua D. RABINOWITZ et al. |                                |
|  | Filing Date: 2/9/2004                  | Group Art Unit: Not Yet Known  |
|  |  |                                |

|  |      |            |             |      |  |  |  |
|--|------|------------|-------------|------|--|--|--|
|  | 132. | 05/25/2000 | WO 00/29053 | WIPO |  |  |  |
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| Form PTO-1449  |  | Docket Number: 00031.04 CON            | Application Number: 10/775,583 |
| <b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><i>(Use several sheets if necessary)</i> |  | Applicant: Joshua D. RABINOWITZ et al. |                                |
|  |  | Filing Date: 2/9/2004                  | Group Art Unit: Not Yet Known  |
|  |  |  |                                |

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Form PTO-1449

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